

Circular No.: NDML/POLICY/2021-0003

Date: November 25, 2021

Submission of data/information to register as Sub-KUA with NDML KUA for online Aadhaar based e-KYC

This has reference to circular Nos. NDML/POLICY/2021-0001 dated May 20, 2021, NDML/POLICY/2020-0002 dated July 29, 2020 & NDML/POLICY/2020-0001 dated April 25, 2020 regarding online Aadhaar Authentication Services (eKYC).

As informed earlier, NSDL Database Management Limited (NDML) has received the requisite approval from UIDAI to function/go live as a local Authentication User Agency (AUA)/e-KYC User Agency (KUA) and has made all necessary arrangements to provide services to Sub-KUAs.

All SEBI Registered Intermediaries (SRIs) are requested to further note that it has been notified vide Gazette Notification No. K-11020/240/2021/Auth/UIDAI dated November 09, 2021 that "Sub-KUA" can use e-KYC authentication facility provided by the Authority (UIDAI) through a KUA. As per Regulation 16 (2) of the Aadhaar (Authentication) Regulations, 2016 KUA shall obtain specific permission from UIDAI for sharing of e-KYC data with Sub-KUA. Accordingly, UIDAI has enabled access to e-KYC services to registered capital market intermediaries by becoming Sub-KUAs. Many market intermediaries had already submitted intent for registration as Sub-KUA. Other interested intermediaries can also use this opportunity to register as Sub-KUA and avail convenient and instant client onboarding using Aadhaar e-KYC.

Accordingly, SEBI has advised submission of details for all intermediaries which are desirous to undertake Aadhaar Authentication as a sub-KUA through NDML KUA in enclosed format (Annexure A). This data will be sent to SEBI for onward submission to UIDAI for Sub-KUA

approval. The salient points for consideration of SRIs desirous of becoming sub KUA through NDML KUA are provided in Annexure B.

We request you to provide the data/information in enclosed format on email IDs kirtip@nsdl.co.in and Sixsigma_SwetaS@nsdl.co.in latest by **December 3, 2021**.

In case any help/assistance is required in providing the data/information in specified format, you can contact Kirti on 9820952546 or Shweta on 8655682207.

For and on behalf of
NSDL Database Management Limited

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Vijay Gupta
Senior Vice President